

Export LC Amendment - Beneficiary Consent User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Amendment - Beneficiary Consent User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Amendment - Beneficiary Consent

Export LC Amendment - Beneficiary Consent process enables the user to register the beneficiary consent response received for an amendment made to a LC.

This section contains the following topics:

Common Initiation Stage	Approval
Registration	Data Enrichment

Common Initiation Stage

The user can initiate the new export LC amendment beneficiary consent request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

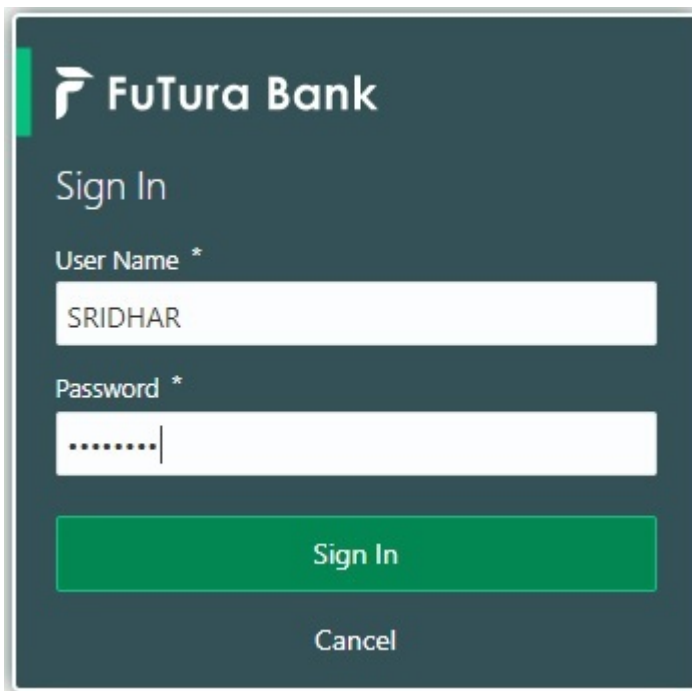
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

If beneficiary response is given through branch either by fax, mail, or paper, the Export LC amendment - Beneficiary Consent process starts from the Registration Stage.

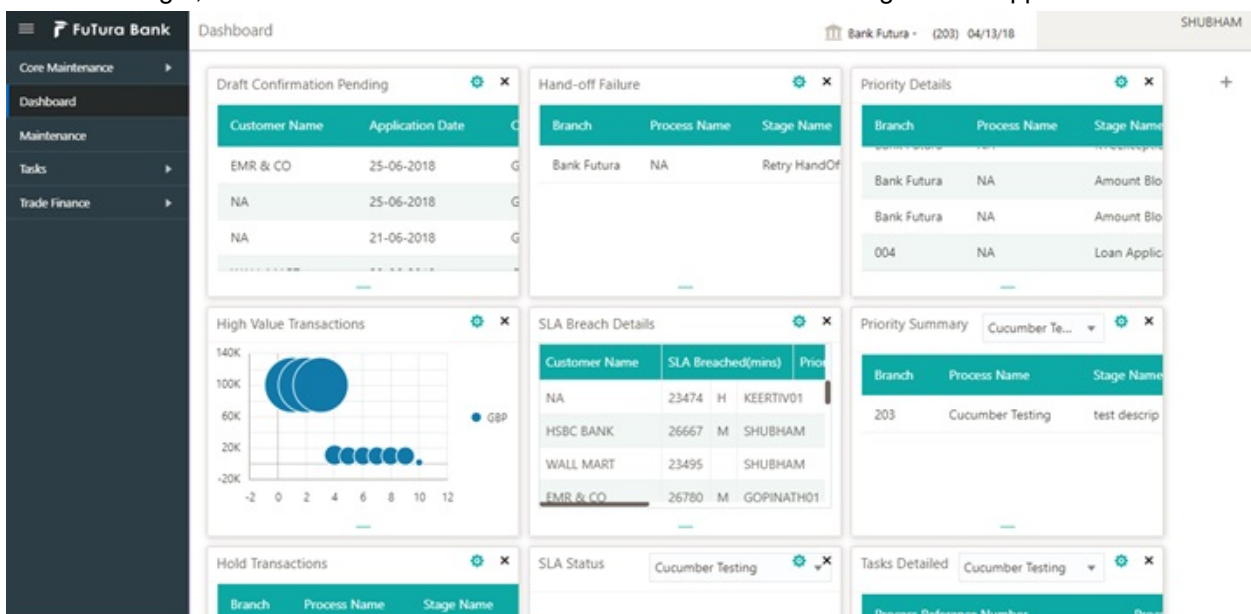
During Registration stage, user can capture the basic details of the response, check the signature of the signatory from the advising bank and upload the related documents. It also enables the user to capture beneficiary response.

3. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'Sign In' screen of the FuTura Bank application. It features a dark blue header with the 'FuTura Bank' logo. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button and a 'Cancel' button are at the bottom.

4. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



5. Click Trade Finance> Export - Documentary Credit> Export LC Amendment (Beneficiary Consent).

The screenshot shows the FuTura Bank Dashboard. On the left sidebar, under 'Trade Finance', the 'Export LC Amendment' option is highlighted with a red box. The main dashboard area contains several widgets: 'Priority Summary' (Guarantee Issuance), 'High Priority Tasks' (NA), 'Hand-off Failure' (NG1 BRANCH, NA, Retry HandC), 'Draft Confirmation Pending' (Customer Name, Application Date), 'SLA Breach Details' (Customer Name, SLA Breached(mins), Priority), 'SLA Status Summary' (Guarantee Issuance), and 'Pending Exception Approval' (Type to filter).

The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

Application Details

The screenshot shows the 'Export LC Amendment Beneficiary Consent' form. It is divided into two main sections: 'Application Details' and 'LC Details'. The 'Application Details' section includes fields for Documentary Credit Number (PK2ELAC21125A6K5), Amendment Number (1), Response Received Date (May 5, 2021), Customer Reference Number (srirama), Beneficiary ID (000153), Process Reference Number (PK2ELCA00007100), Issuing Bank (000322 Trade Indiv 2), Beneficiary (NATIONAL FREIGHT CORP), Priority (Medium), Branch (PK2-Oracle Banking Trade Finan...), Submission Mode (Desk), and Cancel LC (toggle). The 'LC Details' section includes fields for LC Type (Sight), Product Code (ELAC), Product Description (Import LC Usance Non Revolving), Advising Bank, 40A - Form of Documentary Credit (IRREVOCABLE), Contract Reference Number (PK2ELAC21125A6K5), 31C - Date of Issue (May 5, 2021), 40E - Applicable Rules (UCPURR LATEST VERSION), Date of Expiry (Aug 3, 2021), 31D - Place of Expiry (SRIRAMA), 51A - Applicant Bank, Applicant (000321 Trade Indiv 1), 32B - Currency Code, Amount, 39A - Percentage Credit Amount Tolerance, and 39C - Ad. The form has buttons for 'View LC', 'Events', 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV. In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC to be amended.	

Field	Description	Sample Values
Beneficiary ID	Read only field. Beneficiary ID will be auto-populated based on the selected LC from the LOV.	001344
Beneficiary	Read only field. Beneficiary Name will be auto-populated based on the selected LC from the LOV.	EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Amendment Number	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Response Received Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed.	04/13/2018
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field. Non Bank Issuer details will be auto-populated based on the selected LC from the LOV.	
Cancel LC	Read only field. This field displays the option to cancel the LC.	
Customer Reference Number	Read only field. This field displays the Customer Reference Number of the selected LC.	

LC Details

Details in this screen displays the data from the LC issued.

LC Details
 LC Type
 Sight
 40A - Form of Documentary Credit
 IRREVOCABLE
 Date of Expiry
 Aug 3, 2021
 Beneficiary
 000153 NATIONAL FREE

Product Code
 ELAC
 Contract Reference Number
 PK2ELAC21125A6K5
 31D - Place of Expiry
 SRIRAMA
 32B - Currency Code, Amount
 GBP £10,000.00

Product Description
 Import LC Usance Non Revolving
 31C - Date of Issue
 May 5, 2021
 51A - Applicant Bank
 39A - Percentage Credit Amount Tolerance
 10 / 10

Advising Bank
 40E - Applicable Rules
 UCPURR LATEST VERSION
 Applicant
 000321 Trade Indiv 1
 39C - Additional Amount Covered

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	☒	Unconfirmed		☐

Hold Cancel Save & Close Submit

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based selected LC.	
Product Code	Read only field. This field displays the product code of the selected LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Contract Reference Number	Read only field. This field displays the Contract Reference Number of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the rules of the selected LC.	
Date Of Expiry	This field displays the expiry date of the selected LC.	
Place of Expiry	This field displays the place of expiry of the selected LC.	

Field	Description	Sample Values
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected LC.	
Beneficiary	Read only field. This field displays the details of the beneficiary of the selected LC.	
Currency Code, Amount	Read only field. This field displays the value of LC along with the currency details of the selected LC.	
Percentage Credit Amount Tolerance	Read only field. This field displays the percentage credit amount tolerance details of the selected LC.	
Additional Amount Covered	Read only field. This field displays the details of additional amount covered of the selected LC.	

Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the LC in this section.

Beneficiary

000153 NATIONAL FREI

32B - Currency Code, Amount

GBP £10,000.00

39A - Percentage Credit Amount Tolerance

10 / 10

39C - Additional Amount Covered

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	☒	Unconfirmed		☐

Hold Cancel Save & Close Submit

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on selected LC using documentary credit number.	
Amendment Date	Read only field. This field displays the date on which the amendment was made to LC.	

Field	Description	Sample Values
Beneficiary Consent Required	Read only field. Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC using documentary credit number.	
Beneficiary Response	Select the beneficiary response from the LOV. - Confirmed - Unconfirmed - Rejected  Note Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.	

Miscellaneous

Export LC Amendment Beneficiary Consent

Documents Remarks Customer Instruction

Application Details

20 - Documentary Credit Number *
PK2ELAC21125A6K5

Amendment Number
1

Response Received Date
May 5, 2021

Customer Reference Number
srirama

Beneficiary ID
000153

Process Reference Number
PK2ELCA000007100

Issuing Bank
000322 Trade Indiv 2

Beneficiary
NATIONAL FREIGHT CORP

Priority
Medium

Non Bank Issuer

Branch
PK2-Oracle Banking Trade Finan...

Submission Mode
Desk

Cancel LC
☐

View LC Events

LC Details

LC Type
Sight

40A - Form of Documentary Credit
IRREVOCABLE

Date of Expiry
Aug 3, 2021

Beneficiary
NATIONAL FREIGHT CORP

Product Code
ELAC

Contract Reference Number
PK2ELAC21125A6K5

31D - Place of Expiry
SRIRAMA

32B - Currency Code, Amount
USD / 10,000.00

Product Description
Import LC Usance Non Revolving

31C - Date of Issue
May 5, 2021

51A - Applicant Bank

39A - Percentage Credit Amount Tolerance
10 / 10

Advising Bank

40E - Applicable Rules
UCP600 LATEST VERSION

Applicant
000321 Trade Indiv 1

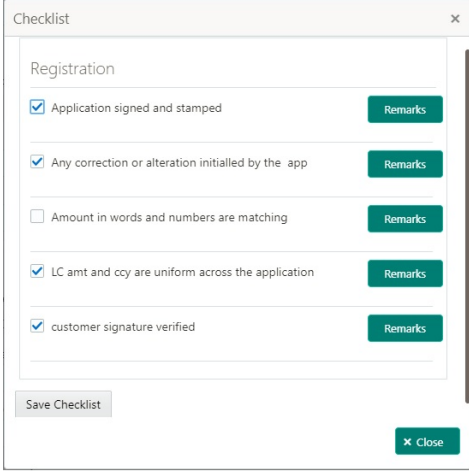
39C - Ad

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Action Buttons		
Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Amendment - Beneficiary Consent Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. 	

Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.

Documents

Document Status All

Letter of Credit

Pro-forma Invoice



Letter of Credit

Application Form





Close

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit

Document Title *

Remarks

Drop files here or click to select

Selected files: []

Document Code *
Insurance Policy

Document Description

Document Expiry Date

[Link Document](#)

Upload Link Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Data Enrichment

Non-Online Channel - Export LC Amendment - Beneficiary Consent request that were received at the desk will move to Beneficiary Consent Response Capture stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Beneficiary Consent Response Capture stage.

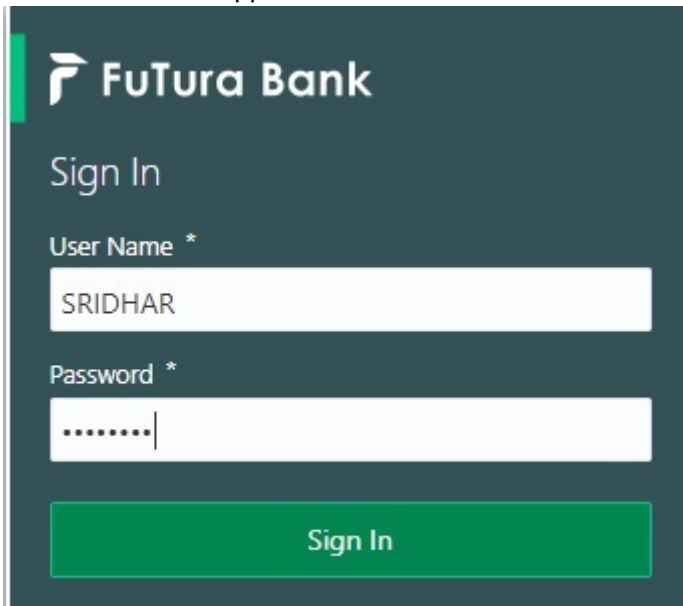


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task currently at Beneficiary Consent Response Capture stage:

1. Using the entitled login credentials for Beneficiary Consent Response Capture stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard displays several widgets for monitoring bank operations. The 'Draft Confirmation Pending' widget shows a list of pending drafts with columns for Customer Name, Application Date, and Status. The 'Hand-off Failure' widget shows a list of failed hand-offs with columns for Branch, Process Name, and Stage Name. The 'Priority Details' widget shows a list of priority tasks with columns for Branch, Process Name, and Stage Name. The 'High Value Transactions' widget shows a bubble chart of transactions over time. The 'SLA Breach Details' widget shows a list of SLA breaches with columns for Customer Name, SLA Breached (mins), and Priority. The 'Priority Summary' widget shows a summary of priority tasks. The 'Hold Transactions' widget shows a list of held transactions. The 'SLA Status' widget shows the current SLA status. The 'Tasks Detailed' widget shows a list of detailed tasks.

3. Click **Trade Finance> Tasks> Free Tasks**.

The 'Free Tasks' page displays a table of tasks. The table has columns for Action, Priority, Application Number, Branch, Customer Number, Amount, Process Name, Stage, and Back Office Ref No. The 'Action' column has a dropdown menu with options like 'Acquire & Edit', 'Acquire', 'Delegate', 'Reassign', and 'Flow Diagram'. The 'Priority' column has values like 'M', 'H', and 'L'. The 'Application Number' column has values like 'GS1ELCA000006373', 'GS1ILCA000006360', 'GS1ELCA000006375', 'GS1ELCA000006285', 'GS1ILCA000006281', and 'GS1ELCA000006283'. The 'Branch' column has values like 'GS1'. The 'Customer Number' column has values like '000262', '000263', and '000264'. The 'Amount' column has values like '£15,000.00', '£100,000.00', '£99,999.19', '£100,000.00', '£90,000.00', and '£100,000.00'. The 'Process Name' column has values like 'Export LC Amendment-Bene...', 'Import LC Issuance', 'Export LC Advising', 'Export LC Amendment', 'Import LC Amendment', and 'Export LC Advising'. The 'Stage' column has values like 'Beneficiary/ResponseCapture', 'Data Enrichment', 'Scrutiny', 'Approval', and 'Data Enrichment'. The 'Back Office Ref No.' column has values like 'GS1ELAC190322003', 'GS1ILSN190328NST', 'GS1ELAC190328NF2', 'GS1ELAC190328N15', 'GS1ILSN190328NEY', and 'NA'. The page also includes a 'Page 1 of 1 (1-10 of 10 items)' indicator and a 'Previous 1 - 10 of 2862 records Next' button.

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

This screenshot is identical to the previous one, showing the 'Free Tasks' page. The 'Acquire & Edit' button in the first row of the table is highlighted with a red box, indicating the action to be taken.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

The Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for beneficiary consent response capture stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Beneficiary Response Capture

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the LC in this section.

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021		Unconfirmed		

Reject
Refer
Hold
Cancel
Save & Close
Back
Next

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on selected LC using documentary credit number.	
Amendment Date	Read only field. Amendment Date will be auto-populated based on selected LC using documentary credit number. This field displays the date on which the amendment was made to LC.	
Beneficiary Consent Required	Read only field. Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC.	
Beneficiary Response	Select the beneficiary response from the LOV. - Confirmed - Rejected  Note Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Fields

Banks can configure these additional fields during implementation.

The screenshot shows the Oracle 'Additional Fields' configuration screen. The top navigation bar includes the Oracle logo, 'Free Tasks', and user information (SRIDHAR02, subham@gmail.com). The main header displays 'ExportLC Amendment BeneficiaryConsent - DataEnrichment :: Application No: PK2ELCA000058291'. A sidebar on the left contains a menu with 'Main Details', 'Additional Fields' (selected), 'Advices', 'Additional Details', 'Settlement Details', and 'Summary'. The main content area is titled 'Additional Fields' and shows a message: 'No Additional fields configured!'. At the bottom, there is an 'Audit' button and a row of action buttons: 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can suppress the advice, if required.

The screenshot shows the Oracle 'Advices' screen. The top navigation bar includes the Oracle logo, 'Free Tasks', and user information (JEEVA02, subham@gmail.com). The main header displays 'ExportLC Amendment BeneficiaryConsent - Beneficiary Consent Response Capture'. A sidebar on the left contains a menu with 'Main Details', 'Additional Fields', 'Advices' (selected), 'Additional Details', and 'Summary'. The main content area is titled 'Advices' and displays five advice tiles. Each tile contains the following information: Advice Name, Advice Party, Party Name, Suppress, and Advice. The tiles are: 1. Advice : AMD_EXP_CR, Advice Party : BEN, Party Name : GOODCARE PLC, Suppress : NO, Advice. 2. Advice : LC_AMND_INSTR, Advice Party : BEN, Party Name : GOODCARE PLC, Suppress : NO, Advice. 3. Advice : LC_ACK_AMND, Advice Party : ISB, Party Name : WELLS FARGO LA, Suppress : YES, Advice. 4. Advice : LC_CASH_COL_ADV, Advice Party : ISB, Party Name : WELLS FARGO LA, Suppress : NO, Advice. 5. Advice : CHNG_REB, Advice Party : CHNG_REB, Party Name : , Suppress : YES, Advice. At the bottom, there is an 'Audit' button and a row of action buttons: 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

The user can also suppress the Advice, if required.

Additional Details

ORACLE My Tasks (DEFAULTTENTIVITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

ExportLC Amendment BeneficiaryConsent - DataEnrichment :: Application No: PK2ELCA000058291

Main Details Additional Fields Advices **Additional Details** Settlement Details Summary

Additional Details Screen (4 / 6)

Commission,Charges and...		Preview Messages	
Charge	:	Language	:
Commission	:	Preview Advice	:
Tax	:		
Block Status	: Not Initiated		

Audit Reject Refer Hold Cancel Save & Close Back Next

Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and taxes

Recalculate Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 of 1 (0 of 0 items) < 1 >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCANCHG	GBP	10000	GBP	£95.00		☐	☐	☐		PK20010440017
LCCANCHG	GBP	10000	GBP	£95.00		☐	☐	☐		PK20010440017

Page 1 of 1 (1-2 of 2 items) < 1 >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close Cancel

Commission Details

Commission Details are auto-populated from back-end system.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	

Field	Description	Sample Values
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are auto-populated from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	

Field	Description	Sample Values
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On Click of Back, the application loads previous stage inputs.	

Settlement Details

ExportLC Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2ELCA00003638

Overrides Incoming Message View LC

Screen (5 / 6)

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details**
- Summary

Settlement Details
☐ Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AMT_PURCHASEDEQ	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BCCOUR_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BCSWIFT_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP		Y
BILL_AMND_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
CHG1_LIQD	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
CHG1_LIQD_AMTEQ	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	N
COLL_LIQD_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	N
EBC_PUR_IN_ADJ	GBP	Credit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N
EBC_PUR_IN_LIQD	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	Y
EBC_PUR_IN_N_ADJ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	N

Audit

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the following field description.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable Netting Indicator.	
Current Event	System defaults the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On Click of Back, the application loads previous stage inputs.	

Summary

User can review the summary of details updated in Beneficiary Consent Response Capture section. User can drill down from summary Tiles into respective data segments.

Oracle My Tasks (DEFAULTTIVITY) (PK2) May 6, 2019 SRIDHAR02 subham@gmail.com

ExportLC Amendment Beneficiary Consent - DataEnrichment :: Application No: PK2ELCA000058291

Summary

Accounting Details	Main Details	Additional Fields	Advices
Event : Account Number : Branch :	Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-03-22 Date of Expiry : 2019-06-20 Place of Expiry : LONDON	Click here to view Additional fields	Advice 1 : Advice 2 :
Commission, Charges and Taxes	Preview Messages	Parties Details	Compliance details
Charge : Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Beneficiary : MARKS AND Applicant : WELLS FARG Confirming Bank : GOODCARE PLC	KYC : Not Initia Sanctions : Not Initia AML : Not Initia

Audit

Reject Refer Hold Cancel Save & Close Back Next Submit

Screen (6 / 6)

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Charges - User can view the charge details.
- Preview Messages - User can view the preview message.
- Compliance - User can view the compliance details.
- Party Details - User can the party details.
- Accounting Details - User can view the accounting entries generated in back office.

**Note**

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Submit	Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On Click of Back, the application loads previous stage inputs.	

Exceptions

The Export LC Amendment Beneficiary Consent request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

The screenshot shows the Oracle Amount Block Exception Approval interface. The top navigation bar includes the Oracle logo, 'My Tasks', and user information for SRIDHAR02. The main header displays the application title 'ExportLC Amendment Beneficiary Consent - AmountBlock Exception Approval' and the application number 'PK2ELCA000058291'. A sidebar on the left contains 'Amount Block Exception' and 'Summary' tabs. The main content area is titled 'Amount Block Exception Details' and contains a table with columns: Type, Contract Currency, Block Amount, Account, Branch, Account Currency, Block Ref No, Block Status, and Block Status Details. The table currently shows 'No data to display.' At the bottom, there is an 'Audit' button on the left and a row of action buttons: 'Reject', 'Refer', 'Hold', 'Approve', 'Back', and 'Next'.

Type	Contract Currency	Block Amount	Account	Branch	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.								

Summary

My Tasks

(DEFAULTTENITY)
(PK2) May 6, 2019

SRIDHAR0
subham@gmail.com

ExportLC Amendment BeneficiaryConsent - AmountBlock Exception Approval :: Application No: PK2ELCA000058291

Amount Block Exception
Summary

Summary

Main Details
Additional Fields
Advices
Commission,Charges and Taxes

Form of LC : **IRREVOCABLE**
Submission Mode : **Desk**
Date of Issue : **2019-03-22**
Date of Expiry : **2019-06-20**
Place of Expiry : **LONDON**

Click here to view Additional fields :

Advice 1 :
Advice 2 :

Charge :
Commission :
Tax :
Block Status : **Not Initia**

Preview Messages
Parties Details
Compliance details

Language : **ENG**
Preview Message : -

Beneficiary : **MARKS AND**
Confirming Bank : **GOODCARE PLC**
Applicant : **WELLS FARG**

KYC : **Not Initia**
Sanctions : **Not Initia**
AML : **Not Initia**

Audit

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

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Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export LC Amendment Beneficiary Consent Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Summary

Main Details	Additional Fields	Advices	Commission, Charges and Taxes
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-03-22 Date of Expiry : 2019-06-20 Place of Expiry : LONDON	Click here to view Additional fields	Advice 1 : Advice 2 :	Charge : Commission : Tax : Block Status : Not Initia
Preview Messages	Parties Details	Compliance details	
Language : ENG Preview Message : -	Beneficiary : MARKS AND Confirming Bank : GOODCARE PLC Applicant : WELLS FARG	KYC : Not initia Sanctions : Not initia AML : Not initia	

Action Buttons: Audit, Reject, Refer, Hold, Approve, Back, Next

Tiles Displayed in Summary:

- **Main Details** - User can view and modify details about application details and LC details, if required.
- **Charge** - User can view and modify charge details, if required.
- **Compliance** - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export LC Amendment Beneficiary Consent KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

My Tasks

Bank Futura -Br... (203) 04/13/18

SRIDHAR

ExportLC Amendment BeneficiaryConsent - Credit Exception - Review

Documents Remarks View LC

Credit Exception

Summary

Credit Exception

Screen (1 / 2)

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	
☐	001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed a

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
☐	Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Success	The amount block can

Reject Hold Refer Cancel Approve Back Next

Summary

My Tasks

Bank Futura -Br... (203) 04/13/18

SRIDHAR

ExportLC Amendment BeneficiaryConsent - Credit Exception - Review

Documents Remarks View LC

Credit Exception

Summary

Screen (2 / 2)

Main Details

Form Of LC : **REVOCABLE**
Submission Mode : **Desk**
Date Of Issue : **4/13/2018**
Date Of Expiry : **7/19/2018**
Place Of Expiry : **London**

Party Details

Applicant : **XXX**
Beneficiary : **XXX**
Advising Bank : **XXX**
Confirming Bank : **XXX**

Availability & Shipment

Available With : **YOUR SELVES**
Available By : **By Payment**
Port Of Loading :
Port Of Discharge : **Chennai**

Payments

Period Of Present. :
Confirmation Instr. : **CONFIRM**
Advise Through Bank :

Amendment Details

Amount : **20000**
Currency : **GBP**
Date Of Expiry : **7/19/2018**
Place Of Expiry : **London**
Tolerance :

Documents & Condition

Document 1 : **BOL**
Document 2 : **MARDOC**
Document 3 : **INSDOC**

Limits & Collaterals

Limit Currency : **GBP**
Limit Contribution : **20000**
Limit Status : **Available**
Collateral Currency : **GBP**
Collateral Contribution : **2000**
Collateral Status : **Success**

Charge Details

Charge : **GBP 50**
Comission :
Tax :
Block Status : **Success**

Revolving Details

Revolving : **No**
Revolving In :
Revolving Frequency :

Preview Messages

Confirm. Required : **Yes**
Confirm. Response :
Response Date :

Compliance

KYC : **Verified**
Sanctions : **Verified**
AML : **Verified**

Reject Hold Refer Cancel Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.

- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export LC Amendment Beneficiary Consent Limit exception check.	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Main Details

Refer to [Main Details](#).

Allied Irish Banks
Free Tasks
AIB Dublin (093)
Feb 19, 2019
SRIDHAR1
subham@gmail.com

Export LC Amendment Beneficiary Consent - Approval1
Documents
Remarks
View LC

Main Details
Summary

Main Details
Screen (1 / 2)

Application :- NG1ELCA000004278

Application Details

20 - Documentary Credit Number *
NG1ELAC141398043

Beneficiary ID
000128

Beneficiary Name
EMR & CO

Branch
NG1-NG1 BRANCH

Amendment Number

Process Reference Number
NG1ELCA000004278

Priority
Medium

Submission Mode
Desk

Response Received Date
May 19, 2014

Issuing Bank

Non Bank Issuer

Cancel LC

Advising Bank Reference

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks
1	2019-02-19	☐	Confirmed	

Reject
Hold
Refer
Cancel
Approve

Summary

Refer to [Summary](#).

Free Tasks

FBN UK (GS1)
Feb 1, 2019
SRIDHAR01
subham@gmail.com

Export LC Amendment-Beneficiary Consent - Approval1 :: Application No: GS1ELCA000003263
Documents
Remarks
View LC

Main Details
Summary

Main Details
Form Of LC : **IRREVOCABLE**
Submission Mode : **Desk**
Date Of Issue : **2019-02-01**
Date Of Expiry : **2019-05-31**
Place Of Expiry : **Negotiatin...**

Charge
Charge :
Commission :
Tax :
Block Status : **Not Initia...**

Compliance
Sanctions : **Not Initia...**
AML : **Not Initia...**

Audit
Reject
Hold
Refer
Cancel
Approve
Back
Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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